中技社『美中科技戰發展與我國產業面臨之挑戰與機會』研討會

# 美中科技戰產業界的因應經驗-以半導體產業為例

誰殺了阿基米德? Who Killed Archimedes?

張振倫 Lucas S. Chang Taipei, Taiwan November 11, 2019

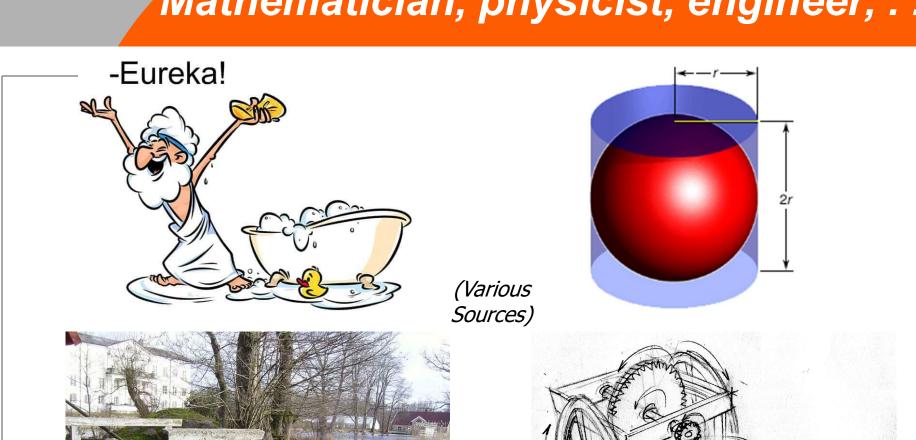
#### Who Was Archimedes?

- Second Punic War (218 201 BC) 第二次布匿戰爭
  - A war arising from trade conflict between two great powers of antiquity
  - The siege and fall of Siracusa (214 212 BC)
  - A great technologist came onto the scene

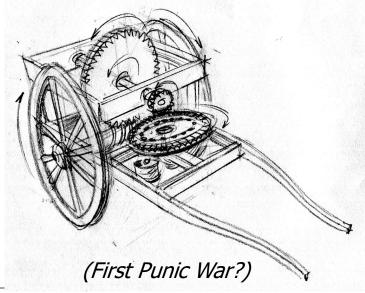
 Give me a place to stand on, and I can lift the earth."

Archimedes of Syracuse (c. 287 – 212 BC)

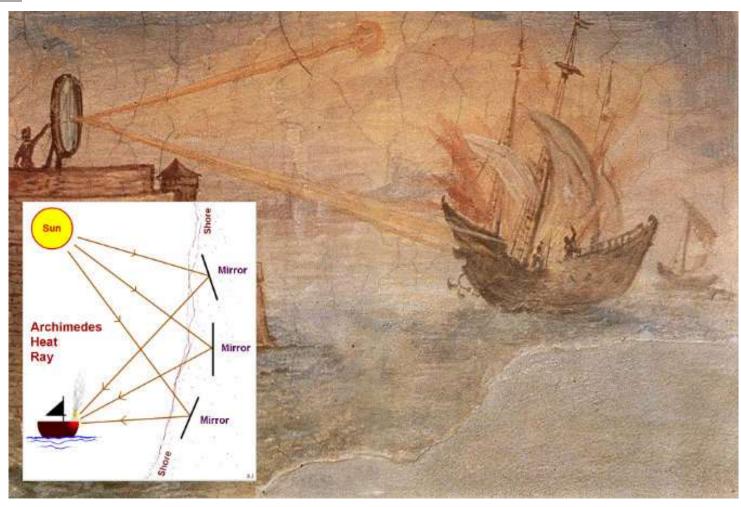
## Mathematician, physicist, engineer, . . .





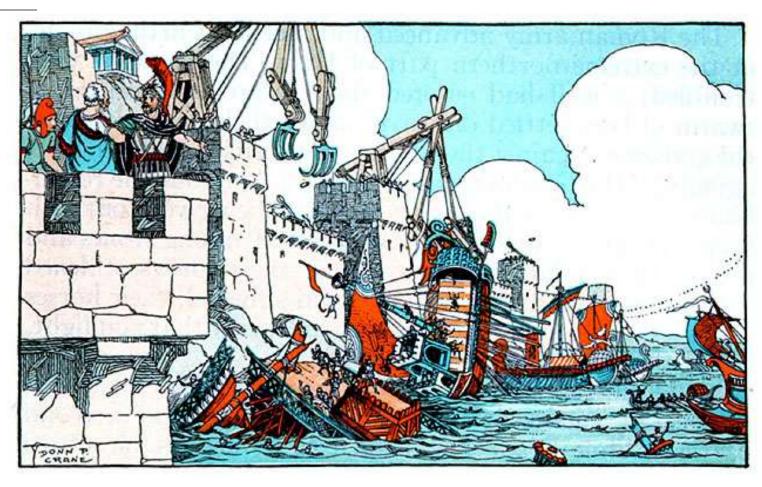


# **Archimedes' Heat Ray**



Source: theepochtimes.com

### **Archimedes' Iron Claw**



Source: cs.drexel.edu

## Killing Archimedes



Thomas Degeorge (1815)

### The Moral of the Story

• 已有的事後必再有;已行的事後必再行。日光之下 並無新事。(There is no new thing under the sun.)

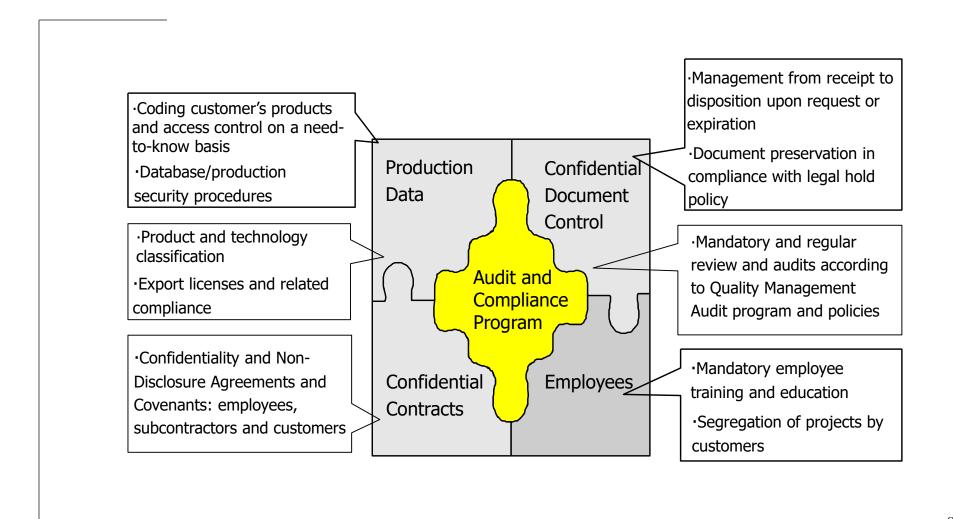
傳道書 Ecclesiastes 1:9

It ain't over till it's over."

Yogi Berra

- What shall we do (so as not to become the next Archimedes)?
  - ✓ Be patient
    - ✓ Be prudent
      - ✓ Be prepared

### **Legal Compliance**



#### **Data/Information Protection**



- Entrance Security Control
- Intrusion Protection System
- Security Control Center
- Linkage Police Station System
- CCTV Monitor System
- Security Patrol Mechanism



- Information Access Policy
- Camera/Storage Media Control
- Password Management Rules
- Mail/File Encryption Policy
- Firewall Control
- Visitor control

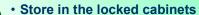




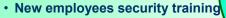
Information **Physical** 

Security

Logistics



- Transport in locked shipping boxes
- Dedicate forwarder & truck for transportation



- Security annual training & testing
- Guard regular sampling training &
- Non-disclosure agreements





Personnel

- Wafer traceability
- Dedicate area for reticle storage
- Scrap management: Scrap wafers/ wafer in locked cabinets



(Example)

# ISO/IEC 27001 Information Security Management ISO/IEC 15408 Physical Security

(Samples)







言語純全,無可指責,叫那反對的人,... 無處可說我們的不是 ... (... and soundness ... that cannot be condemned, so that those who oppose you ... have nothing bad to say about [you].)

## 中美科技戰之一面



# Subject to Export Administration Regulations (EAR)

- All items in the United States 美國境內之品項
- > All U.S.-origin items wherever located 源於美國之品項
- Non-U.S.-origin items outside the United States:
  - Non-U.S.-origin item that incorporates more than a *de minimis* threshold of U.S.-origin controlled content (i.e., the **De Minimis Rules**) (微量原則)
  - Non-U.S.-origin item that is a direct product of (i) certain U.S. technology or software, or (ii) a plant or major component that itself is the direct product of certain U.S.-origin technology (i.e., the [Foreign] **Direct Product Rule**) (直接[外國]產品原則)

#### > Exceptions

- Items that are exclusively controlled for export or reexport by other departments and agencies; e.g., patents (USPTO), defense articles (Department of State), and commodities related to nuclear reactor vessel (Nuclear Regulatory Commission)
- Prerecorded phonograph records, printed books, pamphlets, and miscellaneous publications (non-technical)
- Certain publicly available software and information such as fundamental research information, and non-proprietary system descriptions

#### **Export Control - How?**

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# What is being exported?

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Where is it going?

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# Who is receiving it?

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# What is the end use?

- Product classification
- Technology classification
- Destination country
- By any means: shipping, mailing, email, phone call, meeting, etc.
- Export, transit and transfer
- Country chart and country groups

- Corporation
- Entity on the Restricted Lists
- Foreign nationals

- Commercial
- Chemical/biological weapons
- Nuclear weapons
- Firearms
- Missiles

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#### **The End of Time**

- "The future ain't what it used to be."
- "If you don't know where you are going, you might wind up someplace else."

  Yogi Berra
- At the end of the Third Punic War in 146 BC, the deadly conflict between the Romans and the Carthaginians ended with a jubilant Rome and an obliterated Carthage.
  - But will there always be a triumphant Rome?



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Thank you!

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